

Attorney Docket No. 1069/66261  
PATENT

Box PATENT APPLICATION  
ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of:

Inventor(s): Blom, Eric D.  
Post Office Address/ 7440 North Schadeland Ave  
Residence: Suite 200  
CITIZEN: Indianapolis, IN 46250-2027

Assignee: Hansa Medical Products, Inc.

Claimed  
Priority: U.S.S.N. 09/398,110 (9/16/99), U.S.S.N. 09/360,274 (7/26/99), U.S.S.N. 08/996,282 (12/22/97)

For: VALVED FENESTRATED TRACHEOTOMY TUBE

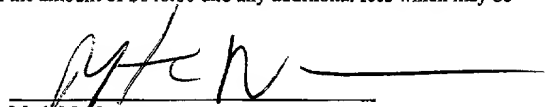
Enclosed are:

The Specification, including claims and abstract (17 pages);  
5 sheets of 11 formal drawing(s);  
Executed Declaration and Power of Attorney-Patent Application;  
Executed assignment of the invention to Hansa Medical Products, Inc.  
Executed Statement of Status as Small Entity;

The filing fee has been calculated as shown below:

For	No. Filed		No. Extra	Small/Large Entity	Total
Basic Fee:				+\$345/690=	\$345.00
Total Claims:	39	- 20 =	19	x \$9/18 =	\$171.00
Independent Claims:	3	- 3 =	0	x \$39/78 =	\$0.00
Multiple Dep. Claim Presented:	1			+\$130/260	<u>\$130.00</u>
TOTAL FEES:					\$646.00

The Commissioner is hereby authorized to charge the filing fee in the amount of \$646.00 and any additional fees which may be required, or credit any overpayment to Account No. 10-0435 (1069/66261).

  
Mark M. Newman  
Reg. No. 31,472

Barnes & Thornburg  
Franklin Tower Bldg.  
1401 Eye Street, N.W.  
Suite 500  
Washington, D.C. 20005  
(202) 289-1313

DCDS01 MMN 57220v1

jc658 U.S. PTO  
09/576043  
05/23/00

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group:

Serial or Patent No.:

Attorney Docket No.: 1069- 66261

Filing or Issue Date:

Applicant or Patentee: Eric D. Blom

Invention: Valved Fenestrated Tracheotomy Tube Having Outer and Inner Cannulae

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS

(37 CFR 1.9(f) AND 1.27(c)) - SMALL BUSINESS CONCERN

I hereby declare that I am

☐

the owner of the small business concern identified below:

☒

an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN Hansa Medical Products, Inc.

ADDRESS OF CONCERN 7440 North Shadeland Avenue, Suite 200

Indianapolis, Indiana 46250-2027

I hereby declare that the small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(h) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the fiscal year of the persons employed during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled \_\_\_\_\_

by inventor(s) \_\_\_\_\_ described in

☐

the specification filed herewith.

☐

application serial no. \_\_\_\_\_, filed \_\_\_\_\_

☐

patent no. \_\_\_\_\_, issued \_\_\_\_\_

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

FULL NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

[ ] INDIVIDUAL

[ ] SMALL BUSINESS CONCERN

[ ] NONPROFIT ORGANIZATION

FULL NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

[ ] INDIVIDUAL

[ ] SMALL BUSINESS CONCERN

[ ] NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Eric D. Blom

TITLE OF PERSON OTHER THAN THE OWNER President

ADDRESS OF PERSON SIGNING 7440 North Shadeland Avenue, Suite 200

Indianapolis, Indiana 46250-2027

SIGNATURE

Eric D. Blom

DATE

5/16/00

VALVED FENESTRATED TRACHEOTOMY TUBE  
HAVING OUTER AND INNER CANNULAE

Cross-Reference to Related Applications

5                   This is a continuation-in-part of U. S. S. N. 09/398,110 filed  
September 16, 1999 and titled Valved Fenestrated Tracheotomy Tube Having Outer  
and Inner Cannulae. U. S. S. N. 09/398,110 is a continuation-in-part of U. S. S. N.  
09/360,274 filed July 26, 1999 and titled Valved Fenestrated Tracheotomy Tube. U.  
S. S. N. 09/360,274 is a continuation of U. S. S. N. 08/996,282 filed December 22,  
10   1997 and titled Valved Fenestrated Tracheotomy Tube, now U. S. Patent 5,957,978.  
The disclosures of these prior applications are hereby incorporated herein by  
reference.

Field of the Invention

15                   This invention relates to improvements in tracheotomy tubes.

Background of the Invention

                  This invention is directed toward the problem of being unable to  
produce audible laryngeal voice, and thus, the inability to speak, that confronts  
20   individuals whose breathing is provided mechanically by a respirator which is  
connected to a cuffed tracheotomy tube inserted into the trachea of a wearer below the  
level of the vocal cords. The cuff on the tracheotomy tube is inflated, for example,  
with air, so that the cuff seals substantially fluid tight against the wall of the trachea.  
The purposes of the inflated cuff include: to protect against leakage of saliva and  
25   other secretions around the tracheotomy tube and into the lungs; and, to prevent the  
air being delivered under pressure from the respirator through the tracheotomy tube to  
the lungs and exhalation from the lungs from escaping around the tracheotomy tube  
and out through the mouth and nose of the wearer. In other words, the inflated cuff  
provides a closed mechanical respiratory system that completely bypasses the upper  
30   airway above the level of the tracheotomy tube, including the vocal cords. The side  
effects of this include the elimination of exhaled airflow upward through the vocal  
cords. Of course, this eliminates voice production and audible speech.

Currently, there are only two available options for individuals being mechanically ventilated via a cuffed tracheotomy tube to produce audible voice and speech with their own vocal cords. The first of these options is described in O. Hessler, M. D., K. Rehder, M. D., and S. W. Karveth, MC, U. S. A., "Tracheostomy Cannula for Speaking During Artificial Respiration," Anesthesiology, vol. 25, no. 5, 5 pp.719-721 (1964). There is no known commercially available device constructed as described in Hessler, et al.

The second option is a so-called "talking tracheotomy tube," which is a conventional cuffed tracheotomy tube manufactured with an 8-10 French conduit 10 extending along its length. The distal end of this conduit terminates above the level of the inflated cuff. The proximal end of this conduit is connected to a source of, for example, compressed air. Examples of such a device are manufactured by Sims-Portex, Inc., and Bivona Surgical Inc. The wearer of such a device is able to stop and start the flow of compressed air to the distal end of this conduit, thereby enabling the 15 stopping and starting of the flow of air upward through his or her vocal cords, enabling the wearer to produce speech. This speech airflow is completely independent of the respiratory airflow through the tracheotomy tube. Such talking tracheotomy tubes have been available for several years, but are not in widespread use, perhaps owing to numerous mechanical limitations.

20 A ventilator-dependent patient breathing through cuffed tracheotomy tube is unable to produce audible voice with his or her vocal cords because the cuff of the tracheotomy tube he or she wears prevents exhalations from going around the lower end of the tube and upward through the vocal cords. This situation continues until the wearer's condition improves sufficiently that the cuff on the tracheotomy 25 tube can be deflated so that exhaled air can pass around the tracheotomy tube and up through the wearer's vocal cords, mouth and nose, permitting audible vocal cord vibrations for speech.

The invention alleviates this situation. When coupled to a respirator with its cuff inflated, a valved, cuffed tracheotomy tube system according to the 30 invention directs air on the inhalation cycle of the respirator to the lungs. Exhalations are directed by the valved, cuffed tracheotomy tube system according to the invention to the upper airway, permitting vocal cord vibration and audible laryngeal speech.

Disclosure of the Invention

According to one aspect of the invention, an outer cannula has a first port for orienting outside the neck of a wearer, a second port for orienting within the trachea of the wearer, a first passageway coupling the first port to the second port to permit the flow of gases from the first port to the second during inhalation by the wearer and from the second port during exhalation by the wearer, and a third port between the first and second ports. An inner cannula is configured for insertion into the first passageway via the first port when the wearer desires to be able to exhale through his or her pharynx. The inner cannula includes a fourth port for orienting adjacent the first port, a fifth port for orienting adjacent the second port and a second passageway coupling the fourth port to the fifth port to permit the flow of gases from the fourth port to the fifth during inhalation by the wearer and from the fifth port during exhalation by the wearer. A valve controls flow through the third port. The valve assumes a first orientation to permit flow from the first port to the second port when the first port is at a higher pressure than the second port, and a second orientation to permit flow from the second port through the third port when the second port is at a higher pressure than the first port.

Illustratively according to the invention, the valve includes a movable member and a seat. The movable member moves away from the seat to permit flow from the fourth port to the fifth port when the fourth port is at a higher pressure than the fifth port, and seats against the seat to impede flow from the fifth port through the fourth port and promote flow from the fifth port through the third port when the fourth port is at a lower pressure than the fifth port.

Illustratively according to the invention, the seat is provided in the second passageway.

Alternatively illustratively according to the invention, the seat is provided in the first passageway.

Additionally according to the invention, the valve includes a movable member and a seat. The movable member moves toward the seat to impede flow from the fourth port through the third port when the fourth port is at a higher pressure than the fifth port, and moves away from the seat to permit flow from the fifth port through the third port when the fourth port is at a lower pressure than the fifth port.

Further illustratively according to the invention, the outer cannula includes an inflatable cuff between the second and third ports and a third passageway for introducing an inflating fluid into the cuff *in situ* in the trachea of the wearer to impede the flow of fluids between the cuff and the trachea when the cuff is inflated.

5                    Additionally illustratively according to the invention, the outer cannula includes a flexible member for covering the third port.

                    Illustratively according to the invention, the flexible member and the outer cannula include complementary first and second attachment members, respectively, for attaching the flexible member to the outer cannula. The second  
10                    attachment member provides an attachment point located within the third port for attachment of the first attachment member to the second attachment member at the attachment point.

                    Alternatively illustratively according to the invention, the flexible member comprises a flexible membrane having a slit in it.

15                    Alternatively illustratively according to the invention, the flexible member comprises a flap for covering the third.

                    According to another aspect of the invention, a tracheotomy cannula has a first port for orienting outside the neck of a wearer, a second port for orienting within the trachea of the wearer and a first passageway coupling the first port to the  
20                    second port to permit the flow of gases from the first port to the second during inhalation by the wearer and from the second port during exhalation by the wearer. The cannula includes a portion formed from a thermoplastic material having a first, generally curved orientation when said portion is maintained substantially below body temperature and a second, somewhat inverted L-shaped configuration when said  
25                    portion is warmed substantially to body temperature.

                    According to another aspect of the invention, a tracheotomy cannula has a first port for orienting outside the neck of a wearer, a second port for orienting within the trachea of the wearer and a first passageway between the first port and the  
30                    second port to permit the flow of gases from the first port to the second during inhalation by the wearer and from the second port during exhalation by the wearer. The cannula includes a portion formed from a relatively more flexible material. A stylet is formed from a relatively less flexible material. The stylet has a generally

curved orientation. The tracheotomy cannula has a somewhat inverted L-shaped configuration when the stylet is not inserted into the first passageway and a generally curved orientation when the stylet is inserted into the first passageway.

5

#### Brief Description of the Drawings

The invention may best be understood by referring to the following detailed description and accompanying drawings which illustrate the invention. In the drawings:

10

Fig. 1 illustrates a partly fragmentary lateral section through the trachea, tracheostoma and lower pharynx of a wearer fitted with a device according to the present invention;

Fig. 2 illustrates an exploded perspective view of the device illustrated in Fig. 1;

15

Fig. 3 illustrates a somewhat enlarged, partly fragmentary lateral section through the trachea, tracheostoma and lower pharynx of a wearer fitted with a device according to the present invention, with the device illustrated in the position it assumes during exhalation by the wearer;

20

Fig. 4 illustrates a somewhat enlarged, partly fragmentary lateral section through the trachea, tracheostoma and lower pharynx of a wearer fitted with the device of Fig. 3 illustrated in another position;

Fig. 5 illustrates a fragmentary sectional view through the embodiment illustrated in Figs. 1-4, taken generally along section lines 5-5 of Fig. 4;

25

Fig. 6 illustrates a partly fragmentary lateral section through the trachea, tracheostoma and lower pharynx of a wearer fitted with another device according to the present invention in the position it assumes during exhalation by the wearer;

30

Fig. 7 illustrates a partly fragmentary lateral section through the trachea, tracheostoma and lower pharynx of a wearer fitted with the device illustrated in Fig. 6, with the device illustrated in another position it assumes;

Fig. 8 illustrates a partly fragmentary lateral section through the trachea, tracheostoma and lower pharynx of a wearer fitted with another device

according to the present invention, with the device illustrated in its orientation during insertion into the trachea of the wearer;

Fig. 9 illustrates a partly fragmentary lateral section through the trachea, tracheostoma and lower pharynx of a wearer fitted with the device illustrated in Fig. 8, with the device illustrated in the orientation it assumes once it has been inserted into the trachea of the wearer and warmed substantially to body temperature;

Fig. 10 illustrates a partly fragmentary lateral section through the trachea, tracheostoma and lower pharynx of a wearer fitted with another device constructed according to the invention, with the device illustrated in a use orientation; and,

Fig. 11 illustrates a partly fragmentary lateral section through the trachea, tracheostoma and lower pharynx of a wearer fitted with the device illustrated in Fig. 10, with the device illustrated in an insertion orientation.

#### Detailed Descriptions of Illustrative Embodiments

Referring now to Figs. 1-5, a speaking tracheotomy tube system 10 includes an outer cannula 12 for insertion into a tracheostoma 14. Outer cannula 12 includes an inflatable cuff 16. Cuff 16 lies in the trachea 18 of the wearer 20 below the passageway 22 upward into the pharynx 24 of the wearer 20. The cuff 16 is inflatable through a line 26 (illustrated only in Fig. 1, for the purpose of clarity) once the outer cannula 12 is in place in the trachea 18 to prevent the passage of secretions 27 from the upper respiratory tract, including pharynx 24, downward into the lungs of the wearer 20. Such secretions inevitably pool 27 above the cuff 16 when the cuff 16 is inflated in place. To evacuate such pooling secretions 27, a tube 29 extends in an indentation 31 provided therefor down the outer sidewall of outer cannula 12. Tube 29 terminates at an open end 33 just above the level of the cuff 16. The pooled secretions 27 are evacuated by the application of a vacuum 37 (illustrated only in Fig. 2, for the purpose of clarity) to the outer end 35 of tube 29.

The outer cannula 12 includes a pivotally mounted attachment plate 30 adjacent its proximal end 32 to facilitate attachment, for example, by a strap or belt around the neck of the wearer 20. The outer cannula 12 also includes a fenestration 34 which permits the wearer 20 to speak by forcing exhaled gases upward through the



fenestration 34 and into the pharynx 24. Speech may then be articulated in accordance with known principles. Although only one such fenestration 34 is illustrated, it should be understood that any number of fenestrations 34 may be provided in the outer cannula 12 for this purpose. The fenestration 34 is covered by a flexible sheet 36 of, for example, a suitable elastomer, which contains a slit 38 for the passage of exhaled gases upward through the pharynx 24. The flexible sheet 36 is provided to prevent the growth of so-called granulation tissue from the trachea 18 into the outer cannula 12 in accordance with known principles. While this covering 36 for the fenestration 34 is the only one illustrated in the drawings, numerous techniques for covering the fenestration 34 are known. See, for example, U. S. S. N. 09/360,274 and U. S. S. N. 08/996,282.

The speaking tracheotomy tube system 10 also includes an inner cannula 40 which is insertable through the lumen 42 of the outer cannula 12. Inner cannula 12 also includes a connector 60 portion for connecting the inner cannula 40 to a ventilator 62, illustrated in block form only in Fig. 2, for the purpose of clarity, to inflate the wearer's lungs.

The inner cannula 40 also includes an opening 64 and a cooperating flap 66 adjacent the fenestration 34 of the outer cannula 12. On pressurization of the inner cannula 40, the flap 66 assumes the position illustrated in Fig. 4 in which air from the ventilator 62 passes freely down the lumen 68 of the inner cannula 40 into the lungs of the wearer 20. The escape of air upward when the flap 66 is in this position is prevented by the cuff 16 and by the orientation of flap 66 illustrated in Fig. 4 in which flap 66 seals opening 64. However, the inner cannula 40, including its flap 66, is constructed so that, on depressurization of the ventilator 62, the flap 66 moves to the position illustrated in Fig. 3, directing the exhaled air upward out of the inner cannula 40, through the fenestration 34 with its slitted flexible sheet 36, and upward into the pharynx 24 of the wearer 20 for use in producing speech. A seat 70 for the flap 66 is provided inside inner cannula 40 when the flap 66 is in its position illustrated in Fig. 3 to reduce the likelihood of the escape of exhaled gases back through the ventilator connector 60.

The flap 66 can have a plan view somewhat the shape of a ping pong paddle, with the sealing portion of the flap 66 corresponding to the hitting portion of

the paddle and the hinge portion of the flap 66 corresponding to the handle of the paddle. In order to reduce the likelihood of eversion of the flap 66 through its seat 70, the flap 66 can be constructed from a stiffer material, such as, for example, a stiffer silicone, or may be molded with a reinforcement to stiffen it, such as, for example, a molded-in X-shaped wire reinforcement or an X-shaped boss on one or the other or both of its major surfaces, or the like. The hinge, or handle of the ping pong paddle, can, for example, be located in a notch provided therefor in the wall of the cannula 40 where it is attached by an appropriate adhesive, or can be inserted into an opening provided therefor in the wall of the cannula 40 where it is attached by an appropriate adhesive, or can be attached to the inner surface of the wall of the cannula 40 by an appropriate adhesive.

Typically, ventilators 62 are provided with mechanisms to measure exhaled gas volume and alarms to indicate when recovered gas volume during exhalation is much less than output gas volume during the pressurization phase of the ventilator 62s' operation. These mechanisms, or at least the alarms of these mechanisms, may have to be disconnected to prevent the alarms from sounding during use of the inner cannula 40 by the wearer 20 during speaking sessions.

The tracheotomy tube system 10 can be quickly converted into a conventional tracheotomy tube by pushing the formed proximal end 80 of a somewhat blade-shaped lock 82 inward. See Fig. 4. Lock 82 is slidable in a channel 84 provided therefor within lumen 68. See Fig. 5. The distal end 86 of lock 82 lies adjacent the inside surface of flap 66. When lock 82 is slid distally in channel 84, its distal end 86 interferes with the opening of flap 66 to its orientation illustrated in Fig. 3. See Fig. 4. This prevents the escape of air upward through opening 64, but provides relatively unrestricted access through lumen 68 to the wearer 20's trachea 18. Alternatively, the tracheotomy tube system 10 can be converted into a conventional tracheotomy tube by removing inner cannula 40 and inserting a non-fenestrated, non-valved conventional inner cannula (not shown) into lumen 42. To provide positive positioning of blade 82 in one or the other of its non-speaking (Fig. 4) or speaking (Fig. 3) orientations, blade 82 is provided with two holes 88, 90 adjacent its proximal end 80. A nub 92 is provided on the inside wall of cannula 40 adjacent its proximal

end. When blade 82 is in its speaking orientation (Fig. 3), nub 92 engages in hole 88. When blade 82 is in its non-speaking orientation (Fig. 4), nub 92 engages in hole 90.

In another embodiment of the invention illustrated in Figs. 6-7, a speaking tracheotomy tube system 110 includes an outer cannula 112 for insertion into a tracheostoma 114. Outer cannula 112 includes an inflatable cuff 116. Cuff 116 lies in the trachea 118 of the wearer 120 below the passageway 122 upward into the pharynx 124 of the wearer 120. The cuff 116 is inflatable through a line (not shown) once the outer cannula 112 is in place in the trachea 118 to prevent the passage of secretions from the upper respiratory tract, including pharynx 124, downward into the lungs of the wearer 120. A tube 129 extends in an indentation 131 provided therefor down the outer sidewall of outer cannula 112. Tube 129 terminates at an open end 133 just above the level of the cuff 116. Pooled secretions are evacuated by the application of a vacuum to the outer end (not shown) of tube 129.

The outer cannula 112 includes a fenestration 134 which permits the wearer 120 to speak by forcing exhaled gases upward through the fenestration 134 and into the pharynx 124. Speech may then be articulated in accordance with known principles. The fenestration 134 is covered by a flexible flap 136 of, for example, a suitable elastomer, which moves upward to the position illustrated in broken lines in Fig. 7 for the passage of exhaled gases upward through the pharynx 124. Again, the flap 136 is provided to prevent the growth of granulation tissue from the trachea 118 into the outer cannula 112. See, for example, U. S. S. N. 09/360,274 and U. S. S. N. 08/996,282.

The speaking tracheotomy tube system 110 also includes an inner cannula 140 which is insertable through the lumen 142 of the outer cannula 112. Inner cannula 112 also includes a connector 160 for connecting the inner cannula 140 to a ventilator 162, illustrated in block form in Fig. 6 only, for the purpose of clarity, to inflate the wearer's lungs.

The inner cannula 140 also includes an opening 164 and a cooperating flap 166 adjacent the fenestration 134 of the outer cannula 112. On pressurization of the inner cannula 140, the flap 166 swings upward to the position illustrated in Fig. 6 in which air from the ventilator 162 passes freely through the lumens 142, 168 of the outer and inner cannulae 112, 140, respectively, into the lungs of the wearer 120. The

escape of air upward when the flap 166 is in this position is prevented by the cuff 116 and by the orientation of flap 166 illustrated in Fig. 6 in which flap 166 seals against the seat 172. However, the inner cannula 140, including its flap 166, is constructed so that, on depressurization of the ventilator 162, the flap 166 swings downward to the position illustrated in Fig. 7, directing the exhaled air upward out of the inner cannula 140, through the fenestration 134 with its flexible flap 136, and upward into the pharynx 124 of the wearer 120 for use in producing speech. Seats 170, 172 are provided for the flap 166 at the distal end of the inner cannula 140 and inside fenestration 134, respectively, for when the flap 166 is in its positions illustrated in Figs. 7 and 6, respectively. Seat 170 reduces the likelihood of the escape of exhaled gases back through the ventilator connector 160. Orientation markers (not shown) may be provided on the proximal end 154 of the outer cannula 112 and the proximal end 146 of the inner cannula 140 to permit the proper orientation of flap 166 with respect to seat 170.

Again, the flap 166 has somewhat the shape of a ping pong paddle in elevation, with the sealing portion of the flap 166 corresponding to the hitting portion of the paddle and the hinge portion of the flap 166 corresponding to the handle of the paddle. In order to reduce the likelihood of eversion of the flap 166 through its opening 164, the flap 166 can be constructed from a stiffer material, such as, for example, a stiffer silicone, or may be molded with a reinforcement to stiffen it, such as, for example, a molded-in X-shaped wire reinforcement or an X-shaped boss on one or the other or both of its major surfaces, or the like. Again, the hinge, or handle of the ping pong paddle, can be located in a notch provided therefor in the wall of the cannula 40 where it is attached by an appropriate adhesive, or inserted into an opening provided therefor in the wall of the cannula 140 where it is attached by an appropriate adhesive, or attached to the inner surface of the wall of the cannula 140 by an appropriate adhesive.

The tracheotomy tube system 110 can be quickly converted into a conventional tracheotomy tube by pushing the formed proximal end 180 of a somewhat blade-shaped lock 182 inward. See Fig. 6. Lock 182 is slidable in a channel 184 provided therefor within lumen 168. The distal end 186 of lock 182 lies adjacent the front surface of flap 166. When lock 182 is slid distally in channel 184,

its distal end 186 interferes with the opening of flap 166 to its orientation illustrated in Fig. 7. See Fig. 6. This prevents the escape of air upward through opening 164, but provides relatively unrestricted access through lumen 168 to the wearer 120's trachea 118. Alternatively, the tracheotomy tube system 110 can be converted into a

5 conventional tracheotomy tube by removing inner cannula 140 and inserting a non-fenestrated, non-valved conventional inner cannula (not shown) into lumen 142. To provide positive positioning of blade 182 in one or the other of its non-speaking (Fig. 6) or speaking (Fig. 7) orientations, blade 182 is provided with two holes 188, 190 adjacent its proximal end 180. A nub 192 is provided on the inside wall of cannula

10 140 adjacent its proximal end. When blade 182 is in its speaking orientation (Fig. 7), nub 192 engages in hole 188. When blade 182 is in its non-speaking orientation (Fig. 6), nub 192 engages in hole 190.

The embodiments of Figs. 1-5 and 5-6 illustrate two different configurations of outer cannulae. While the configuration illustrated in Figs. 1-5 is

15 easier to insert into, and remove from, the trachea of a wearer, the configuration illustrated in Figs. 6-7 has a more natural shape. That is, the configuration of the trachea and tracheostoma of a wearer is configured rather more like the inverted L configuration of outer cannula 112 and inner cannula 140 and rather less like the curved configuration of outer cannula 12 and inner cannula 40. According to another

20 aspect of the invention, an outer cannula 212 is provided which is constructed from a thermoplastic resin which has the somewhat more curved configuration of outer cannula 12 when outer cannula 212 is at temperatures somewhat lower than body temperature, illustrated in Fig. 8, but which reverts to the somewhat more inverted L configuration of outer cannula 112 when it is inserted into the trachea of a wearer.

25 See Fig. 9. This characteristic facilitates insertion of the outer cannula 212 into the trachea 218 of a wearer 220, while providing the somewhat more natural inverted L configuration once the outer cannula 212 is inserted. Of course, removal is rendered somewhat more difficult, but such outer cannulae 212 typically reside for extended times in their wearers 220.

30 In another embodiment constructed according to the invention and illustrated in Figs. 10-11, a relatively pliable, for example, relatively low-durometer silicone, tracheotomy tube 250 of a somewhat L-shaped configuration is provided

with a stylet 252 having a somewhat bullet-shaped remote end 254 for ease of insertion through a tracheostoma 256 into the trachea 258 of a wearer 260. The proximal end 266 of the tracheotomy tube 250 is provided with some means such as, for example, a flange 268, to permit the healthcare worker to hold the proximal end

5 266 of the tracheotomy tube 250 so that the stylet 252 can be inserted into the open proximal end 266 of the tube 250 and pushed the full length into the tracheotomy tube 250 to cause deflection of the relatively pliable tracheotomy tube 250 from its somewhat L-shaped configuration illustrated in Fig. 10 into a somewhat more curved configuration illustrated in Fig. 11. This configuration facilitates insertion of the

10 tracheotomy tube 250 through the tracheostoma 256 and into the trachea 258 of the wearer 260. Once the tracheotomy tube 250 is in place in the trachea 258 of the wearer 260, the stylet 252 is removed, at which time the tracheotomy tube 250 returns from its somewhat more curved configuration illustrated in Fig. 11 to its somewhat more L-shaped configuration illustrated in Fig. 10.

15 To remove the tracheotomy tube 250 from the trachea 258 of the wearer 260, the stylet 252 can be reinserted into the tracheotomy tube 250 to return it to its somewhat more curved configuration illustrated in Fig. 11 prior to removing it from the trachea 258 of the wearer 260. If this is done, the tracheotomy tube 250 comes out relatively easily. Alternatively, the tracheotomy tube 250 can be removed

20 while still in its somewhat more L-shaped configuration. Although this requires somewhat more force than if the stylet 252 were used to render the tracheostomy tube 250 somewhat more curved in configuration, the tracheotomy tube 250 still can be removed without excessive effort or damage to the trachea 258 or tracheostoma 256 of the wearer 260. The tracheotomy tube 250 is otherwise configured similarly to

25 tracheotomy tube 112, 140 illustrated in Figs. 6-7.

While many details of the embodiments illustrated in Figs. 1-7 are eliminated from the illustrations of Figs. 8-11 for the purpose of clarity, it is to be understood that practical embodiments of the invention illustrated in Figs. 8-11 can be provided with, for example, secretion evacuation tubes like tubes 29, 129 illustrated in

30 Figs. 1-7.

What is claimed is:

1. In combination, an outer cannula having a first port for  
orienting outside the neck of a wearer, a second port for orienting within the trachea  
5 of the wearer and a first passageway coupling the first port to the second port to  
permit the flow of gases from the first port to the second during inhalation by the  
wearer and from the second port during exhalation by the wearer, a third port between  
the first and second ports, and an inner cannula for insertion into the first passageway  
via the first port when the wearer desires to be able to exhale through the wearer's  
10 pharynx, the inner cannula including a fourth port for orienting adjacent the first port,  
a fifth port for orienting adjacent the second port and a second passageway coupling  
the fourth port to the fifth port to permit the flow of gases from the fourth port to the  
fifth during inhalation by the wearer and from the fifth port during exhalation by the  
wearer, a valve controlling flow through the third port, the valve assuming a first  
15 orientation to permit flow from the first port to the second port when the first port is at  
a higher pressure than the second port, and a second orientation to permit flow from  
the second port through the third port when the second port is at a higher pressure  
than the first port.
2. The apparatus of claim 1 wherein the valve includes a movable  
20 member and a seat, the movable member moving away from the seat to permit flow  
from the fourth port to the fifth port when the fourth port is at a higher pressure than  
the fifth port, and seating against the seat to impede flow from the fifth port through  
the fourth port and promote flow from the fifth port through the third port when the  
fourth port is at a lower pressure than the fifth port.
- 25 3. The apparatus of claim 2 wherein the seat is provided in the  
second passageway.
4. The apparatus of claim 2 wherein the seat is provided in the  
first passageway.
5. The apparatus of claim 1 wherein the valve includes a movable  
30 member and a seat, the movable member moving toward the seat to impede flow from  
the fourth port through the third port when the fourth port is at a higher pressure than

the fifth port, and moving away from the seat to permit flow from the fifth port through the third port when the fourth port is at a lower pressure than the fifth port.

6. The apparatus of claim 2 including a second seat, the movable member moving toward the second seat to impede flow from the fourth port through the third port when the fourth port is at a higher pressure than the fifth port, and moving away from the second seat to permit flow from the fifth port through the third port when the fourth port is at a lower pressure than the fifth port.

7. The apparatus of claim 3 including a second seat, the movable member moving toward the second seat to impede flow from the fourth port through the third port when the fourth port is at a higher pressure than the fifth port, and moving away from the second seat to permit flow from the fifth port through the third port when the fourth port is at a lower pressure than the fifth port.

8. The apparatus of claim 4 including a second seat, the movable member moving toward the second seat to impede flow from the fourth port through the third port when the fourth port is at a higher pressure than the fifth port, and moving away from the second seat to permit flow from the fifth port through the third port when the fourth port is at a lower pressure than the fifth port.

9. The apparatus of claim 1 wherein the outer cannula includes an inflatable cuff between the second and third ports and a third passageway for introducing an inflating fluid into the cuff *in situ* in the trachea of the wearer to impede the flow of fluids between the cuff and the trachea when the cuff is inflated.

10. The apparatus of claim 2 wherein the outer cannula includes an inflatable cuff between the second and third ports and a third passageway for introducing an inflating fluid into the cuff *in situ* in the trachea of the wearer to impede the flow of fluids between the cuff and the trachea when the cuff is inflated.

11. The apparatus of claim 3 wherein the outer cannula includes an inflatable cuff between the second and third ports and a third passageway for introducing an inflating fluid into the cuff *in situ* in the trachea of the wearer to impede the flow of fluids between the cuff and the trachea when the cuff is inflated.

12. The apparatus of claim 4 wherein the outer cannula includes an inflatable cuff between the second and third ports and a third passageway for



introducing an inflating fluid into the cuff *in situ* in the trachea of the wearer to impede the flow of fluids between the cuff and the trachea when the cuff is inflated.

13. The apparatus of claim 5 wherein the outer cannula includes an inflatable cuff between the second and third ports and a third passageway for  
5 introducing an inflating fluid into the cuff *in situ* in the trachea of the wearer to impede the flow of fluids between the cuff and the trachea when the cuff is inflated.

14. The apparatus of claim 6 wherein the outer cannula includes an inflatable cuff between the second and third ports and a third passageway for  
10 introducing an inflating fluid into the cuff *in situ* in the trachea of the wearer to impede the flow of fluids between the cuff and the trachea when the cuff is inflated.

15. The apparatus of claim 7 wherein the outer cannula includes an inflatable cuff between the second and third ports and a third passageway for  
introducing an inflating fluid into the cuff *in situ* in the trachea of the wearer to impede the flow of fluids between the cuff and the trachea when the cuff is inflated.

16. The apparatus of claim 8 wherein the outer cannula includes an inflatable cuff between the second and third ports and a third passageway for  
15 introducing an inflating fluid into the cuff *in situ* in the trachea of the wearer to impede the flow of fluids between the cuff and the trachea when the cuff is inflated.

17. The apparatus of claim 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13,  
20 14, 15 or 16 wherein the outer cannula includes a flexible member for covering the third port.

18. The apparatus of claim 17 wherein the flexible member and the outer cannula including complementary first and second attachment members,  
respectively, for attaching the flexible member to the outer cannula, the second  
25 attachment member providing an attachment point located within the third port for attachment of the first attachment member to the second attachment member at the attachment point.

19. The apparatus of claim 17 wherein the flexible member comprises a flexible membrane having a slit in it.

20. The apparatus of claim 17 wherein the flexible member comprises a flap for covering the third port.

21. The apparatus of claim 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15 or 16 further including a member selectively movable into interfering relationship to the valve, the member preventing the valve from moving to the second orientation.

5 22. A tracheotomy cannula having a first port for orienting outside the neck of a wearer, a second port for orienting within the trachea of the wearer and a first passageway between the first port and the second port to permit the flow of gases from the first port to the second during inhalation by the wearer and from the second port during exhalation by the wearer, the cannula including a portion formed from a thermoplastic material having a first, generally curved orientation when said portion is  
10 maintained substantially below body temperature and a second, somewhat inverted L-shaped configuration when said portion is warmed substantially to body temperature.

23. A tracheotomy cannula having a first port for orienting outside  
15 the neck of a wearer, a second port for orienting within the trachea of the wearer and a first passageway between the first port and the second port to permit the flow of gases from the first port to the second during inhalation by the wearer and from the second port during exhalation by the wearer, the cannula including a portion formed from a relatively more flexible material, and a stylet formed from a relatively less flexible  
20 material, the stylet having a generally curved orientation, the tracheotomy cannula having a somewhat inverted L-shaped configuration when the stylet is not inserted into the first passageway and a generally curved orientation when the stylet is inserted into the first passageway.

25

Abstract of the Disclosure

An outer cannula has a first port for orienting outside the neck of a wearer, a second port for orienting within the trachea of the wearer, a first passageway coupling the first port to the second port to permit the flow of gases from the first port to the second during inhalation by the wearer and from the second port during exhalation by the wearer, and a third port between the first and second ports. An inner cannula is configured for insertion into the first passageway via the first port when the wearer desires to be able to exhale through his or her pharynx. The inner cannula includes a fourth port for orienting adjacent the first port, a fifth port for orienting adjacent the second port and a second passageway coupling the fourth port to the fifth port to permit the flow of gases from the fourth port to the fifth during inhalation by the wearer and from the fifth port during exhalation by the wearer. A valve controls flow through the third port. The valve assumes a first orientation to permit flow from the first port to the second port when the first port is at a higher pressure than the second port, and a second orientation to permit flow from the second port through the third port when the second port is at a higher pressure than the first port.

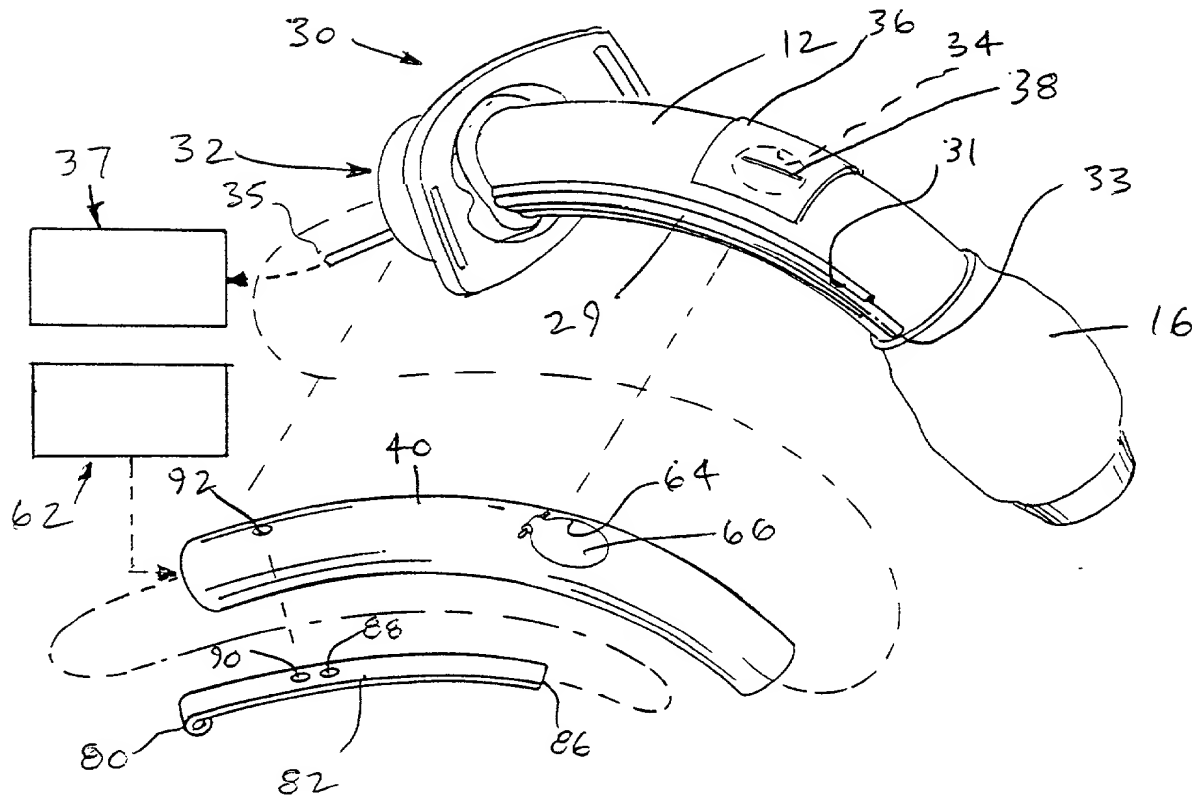
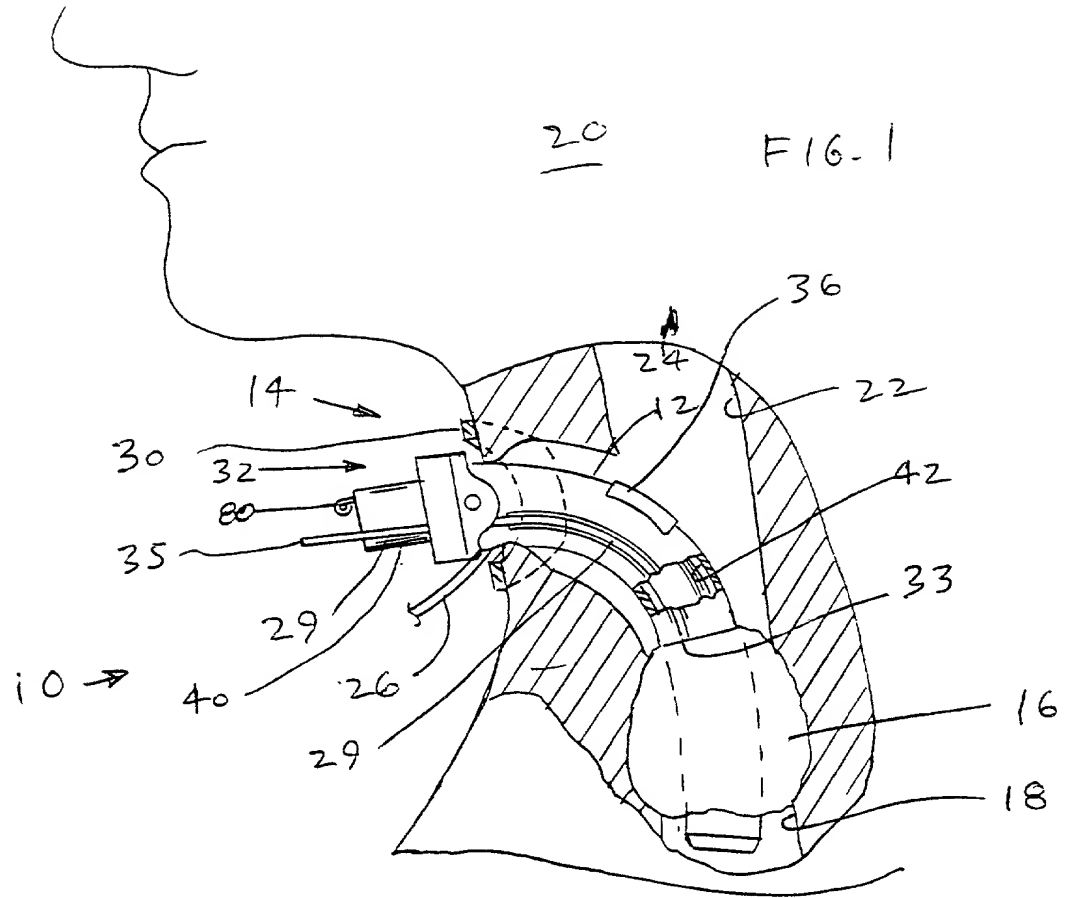


FIG. 2

FIG. 4

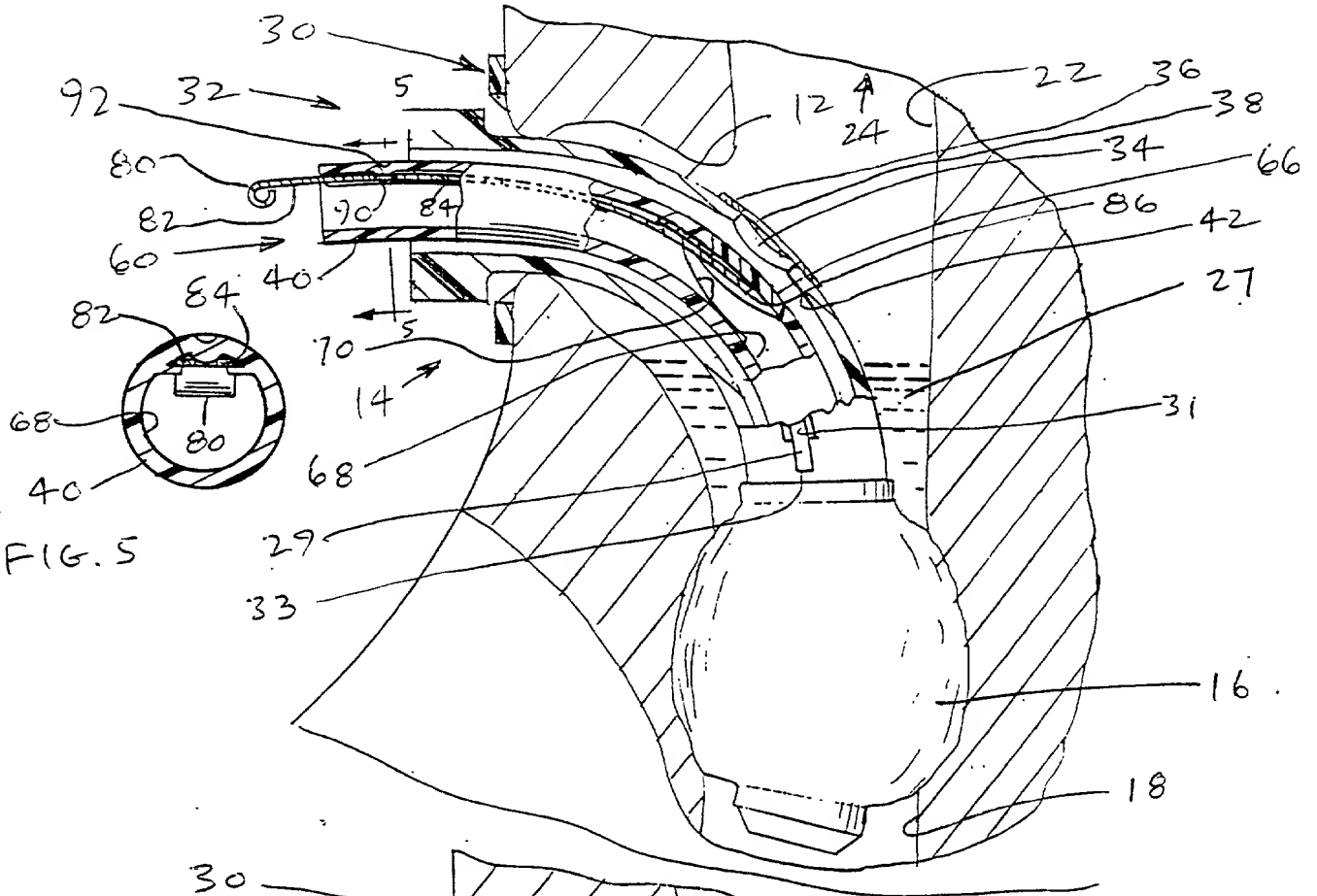


FIG. 5

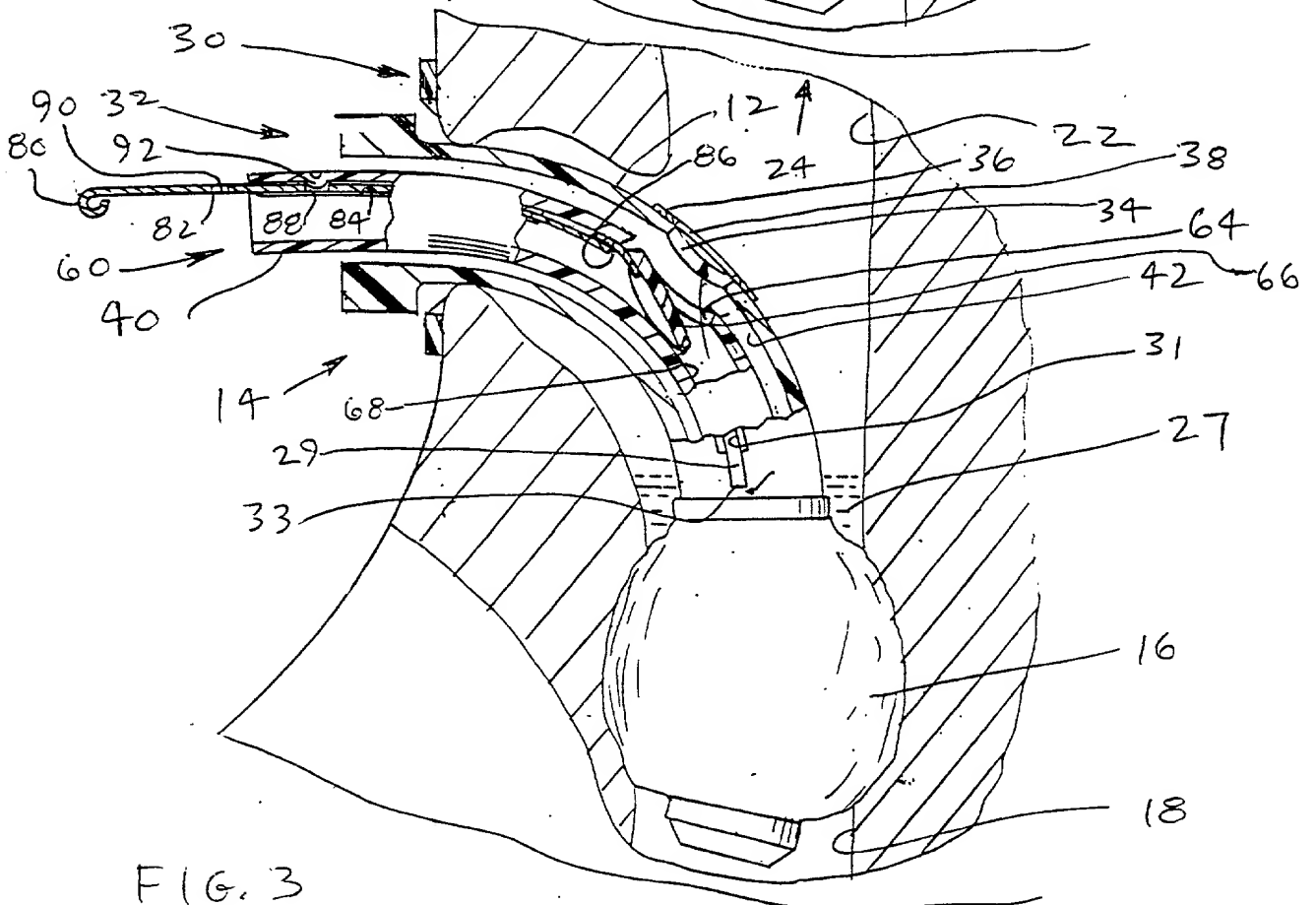
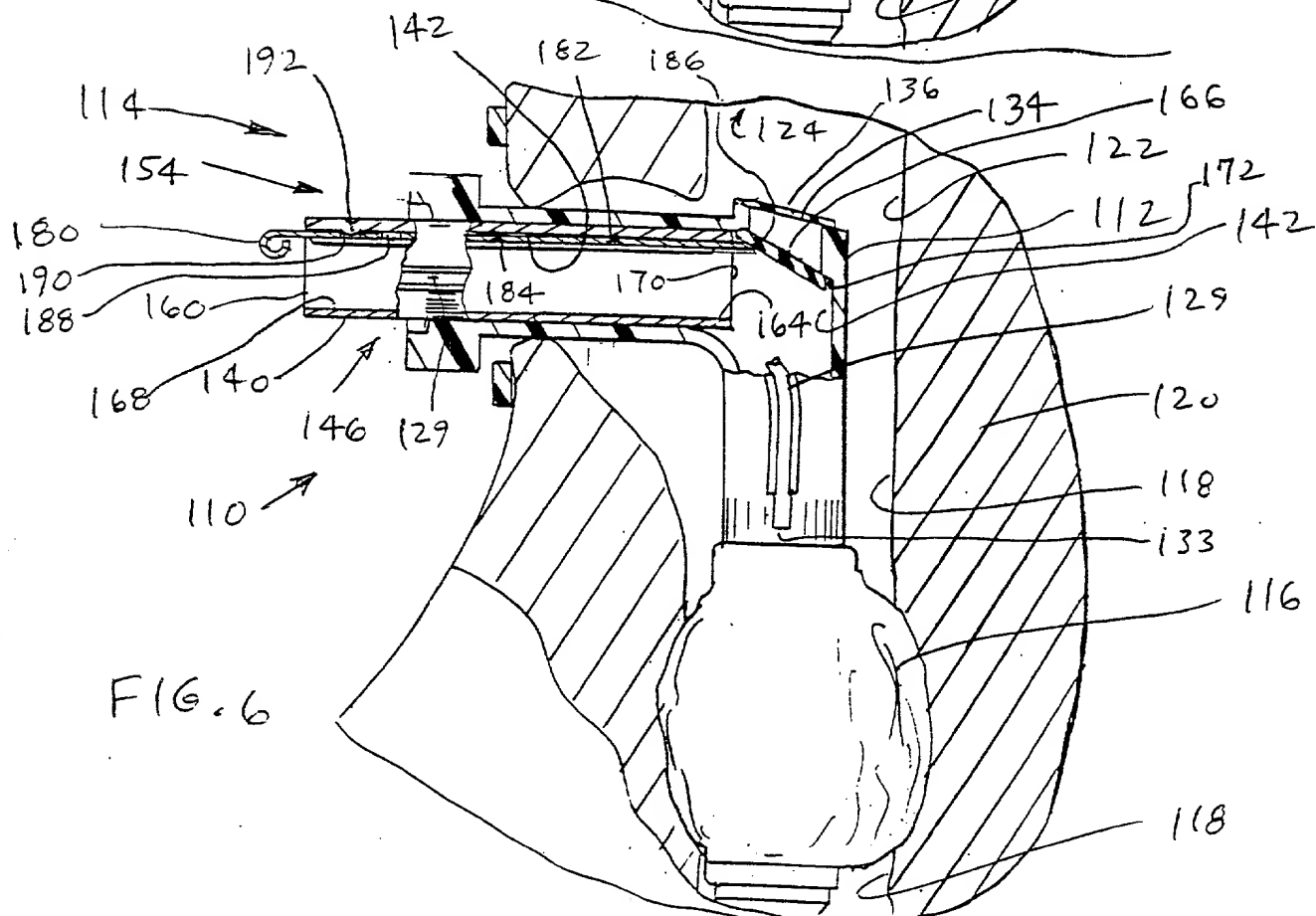


FIG. 3





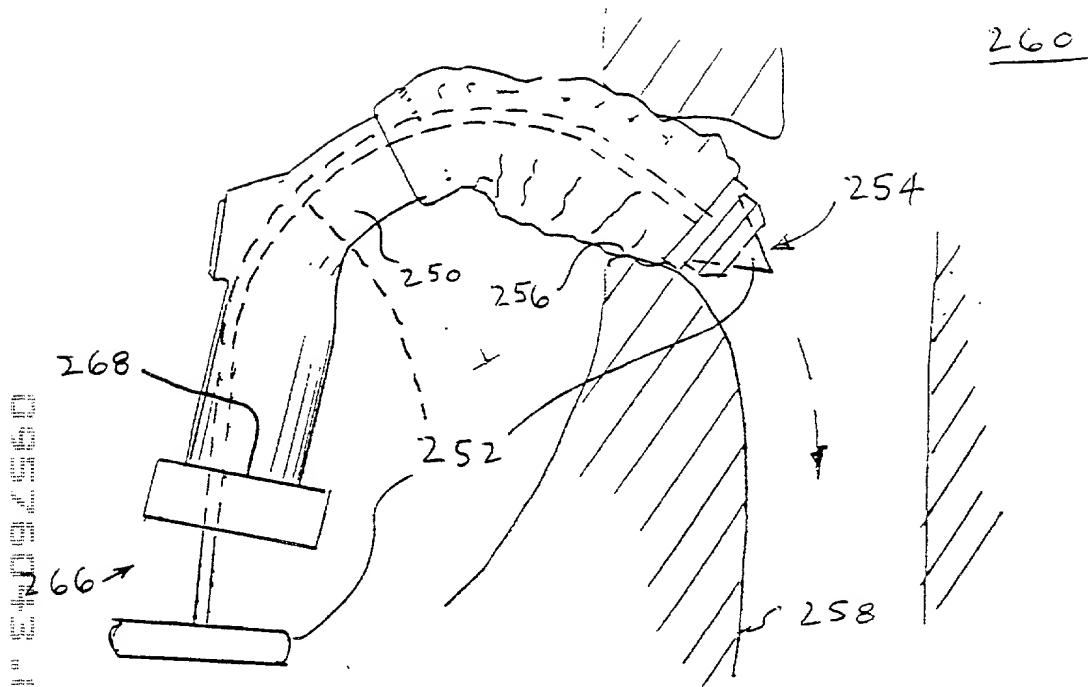


FIG. 11

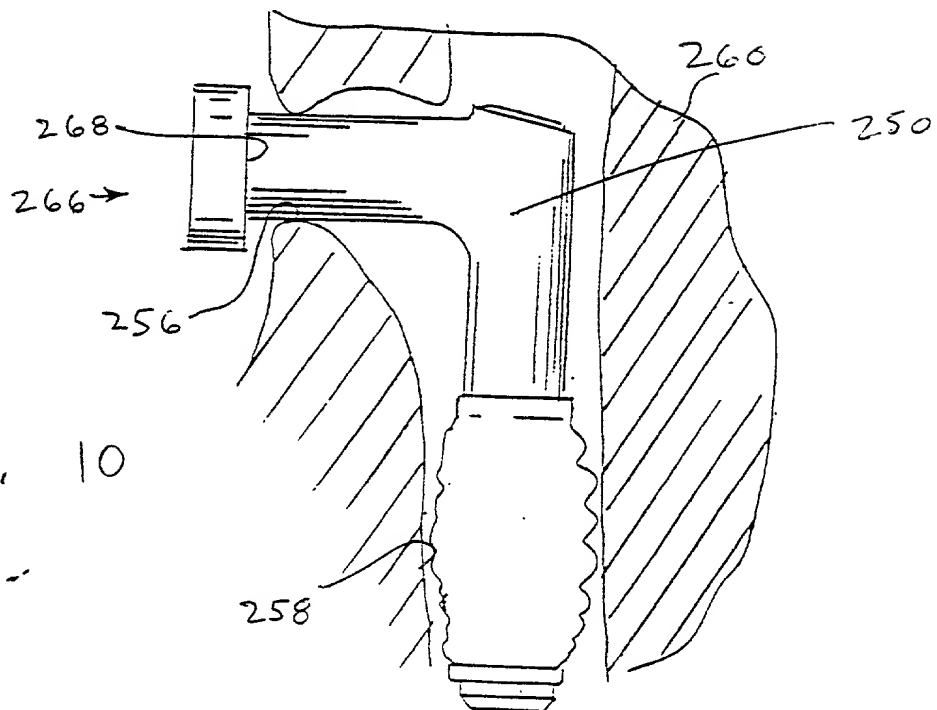


FIG. 10



DECLARATION AND POWER OF ATTORNEY -- PATENT APPLICATION

As a below named inventor, I hereby declare that I believe I am the original, first and sole inventor (*if only one name is listed below*) or an original, first and joint inventor (*if plural names are listed below*) of the subject matter which is claimed and for which a patent is sought in the application entitled:

VALVED FENESTRATED TRACHEOTOMY TUBE HAVING OUTER AND INNER CANNULAE the  
specification of which  
(check one) X is attached hereto  
was filed on \_\_\_\_\_ as  
United States Application Serial No. \_\_\_\_\_ or  
PCT International Application No. \_\_\_\_\_  
and was amended on \_\_\_\_\_  
(if applicable)

I hereby declare that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to herein.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of any foreign application(s) for patent or inventor's certificate on which priority is claimed (as listed below) and I have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)			Priority Claimed	
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	<u>Yes</u>	<u>No</u>
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	<u>Yes</u>	<u>No</u>

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(b) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application Serial No.	Filing Date	Status-patented, pending, abandoned
<u>09/398,110</u>	<u>September 16, 1999</u>	<u>Pending</u>
Application Serial No.	Filing Date	Status-patented, pending, abandoned
<u>09/360,274</u>	<u>July 26, 1999</u>	<u>Pending</u>
Application Serial No.	Filing Date	Status-patented, pending, abandoned
<u>08/996,282</u>	<u>December 22, 1997</u>	<u>U.S. Patent 5,957,978</u>
Application Serial No.	Filing Date	Status-patented, pending, abandoned

I hereby appoint William R. Coffey, Reg. No. 24023; Richard D. Conard, Reg. No. 27321; Steven R. Lammert, Reg. No. 27653; Richard A. Rezek, Reg. No. 30796; Nancy J. Harrison, Reg. No. 27083; Dilip A. Kulkarni, Reg. No. 27510; David B. Quick, Reg. No. 31993; Jill T. Powlick, Reg. No. 42088; Arland T. Stein, Reg. No. 28062; William B. Richards, Reg. No. 44301; Kenneth J. Waite, Reg. No. 45189; Thomas S. Reynolds II, Reg. No. 45262; Perry Palan, Reg. No. 26213; Mark M. Newman, Reg. No. 31472; Bobby B. Gillenwater, Reg. No. 31105; Paul B. Hunt, Reg. No. 37154; Michael S. Gzybowski, Reg. No. 32816;

revocation, to prosecute this application, and to transact all business in the Patent and Trademark Office connected therewith, and I specify that communications regarding the application be directed to:

BARNES & THORNBURG  
11 South Meridian Street  
Indianapolis, Indiana 46204  
Telephone (317) 236-1313

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Eric D. Blom  
Full Name of Sole or First Joint Inventor

Eric D. Blom  
Inventor's Signature

2000 West 106th Street  
Carmel, Indiana 46290  
Residence and Post Office Address

\_\_\_\_\_  
Full Name of Second Joint Inventor, if any

\_\_\_\_\_  
Second Inventor's Signature

\_\_\_\_\_  
Residence and Post Office Address

\_\_\_\_\_  
Full Name of Third Joint Inventor, if any

\_\_\_\_\_  
Third Inventor's Signature

\_\_\_\_\_  
Residence and Post Office Address

\_\_\_\_\_  
Full Name of Fourth Joint Inventor, if any

\_\_\_\_\_  
Inventor's Signature

\_\_\_\_\_  
Residence and Post Office Address

USA  
Country of Citizenship

9/16/00  
Date

\_\_\_\_\_  
Country of Citizenship

\_\_\_\_\_  
Date

\_\_\_\_\_  
Country of Citizenship

\_\_\_\_\_  
Date

\_\_\_\_\_  
Country of Citizenship

\_\_\_\_\_  
Date